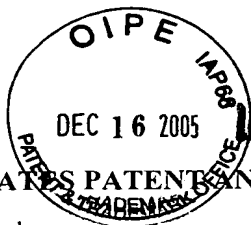


Docket No. 277077US0PCT



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AP3 Rec'd PGT/PTO 16 DEC 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Toshiki MORI, et al.

SERIAL NO: 10/549,847

GAU:

FILED: September 19, 2005

EXAMINER:

FOR: PROCESS FOR PRODUCING CAROTENOID EMULSION

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
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Norman F. Oblon

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(OSMMN 05/03)

Surinder Sachar

Registration No. 34,423

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Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

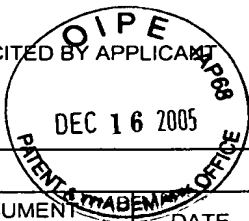
ATTY DOCKET NO.

277077US0PCT

SERIAL NO.

10/549,847

LIST OF REFERENCES CITED BY APPLICANT



APPLICANT

Toshiki MORI, et al.

FILING DATE

September 19, 2005

GROUP

U.S. PATENT DOCUMENTS

EXAMINER - INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6 664 300	12/16/03	MORI et al.			
	AB	2 861 891	11/25/58	BAUERNFEIND et al.			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	2002-302479	10/18/02	JP (equivalent of JP 2002-316924, US 2002/0099102 & US 6664300)		NO
	AP	00/15329	03/23/00	WO (with English abstract)		NO
	AQ	37-12428	09/08/59	JP		NO
	AR	51-41732	04/08/76	JP (with partial English translation & equivalent of US 3998753 & JP 52-84232 - with English abstract and partial English translation)		NO
	AS	63-196242	08/15/88	JP (equivalent of CA 1330270 & DE 3702030 - English abstract only)		NO
	AT	3-66615	03/22/91	JP (equivalent of US 5364563, EP 0410236 -with English abstract)		NO
	AU	8-119933	05/14/96	JP (with English abstract)		NO
	AV	2000-186224	07/04/00	JP (equivalent of EP 0937412)		NO

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	<input type="checkbox"/> Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

U.S. PCT Application Serial No.: 10/549,847
Docket No.: 277077US0PCT

STATEMENT OF RELEVANCY

- 1) References AO, AP have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) References _____ have been cited in the corresponding _____ Search Report. A copy of these references is being submitted herewith.
- 3) References AB, AQ-AV are discussed in the specification. A copy of these references is being submitted here with.
- 4) References _____ are additional prior art known to Applicant. A copy of these references is being submitted herewith.



Docket No.: 277077US0PCT

Serial No.: 10/549,847

Inventor: Toshiki MORI, et al.

LIST OF RELATED CASES CITED BY
APPLICANT UNDER 37 CFR 1.56

Filing Date: September 19, 2005

Group:

LIST OF RELATED CASES

<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
	277077US0PCT*	10/549,847	09/19/05		MORI, et al.
	218225US0	6,664,300	12/16/03		MORI, et al.

Examiner

Date Considered

*Present Application; listed for information
NFO/kch/hah